

NIKKO AM GLOBAL UMBRELLA FUND

Société d'investissement à capital variable

Registered office: 80, route d'Esch

L-1470 Luxembourg

R.C.S. Luxembourg B 53436

(the "Company")

NOTICE TO THE SHAREHOLDERS OF NIKKO AM GLOBAL UMBRELLA FUND

Luxembourg, 15 September, 2021

Object: change of the Depository, the Administrative Agent, Domiciliary Agent, Corporate Agent, Paying Agent and Registrar and Transfer Agent

Dear Shareholder,

We are writing to you as a shareholder of the Company in order to inform you that the board of the Company has determined to change the Depository, the Administrative Agent, Domiciliary Agent, Corporate Agent, Paying Agent and Registrar and Transfer Agent from Brown Brothers Harriman (Luxembourg) S.C.A. (“**BBH Luxembourg**”) to BNP Paribas Securities Services – Luxembourg Branch (“**BNPPSS**”) with registered office at 60 avenue J.F. Kennedy, L-1855, Luxembourg, Grand Duchy of Luxembourg which will become the new registered address of the Company as a result of a reassessment of the delegation arrangements.

The effective date of the change to BNPPSS will be 18 October 2021.

This change will not entail any additional fees for the shareholders nor any change in the way the Company is managed including its asset allocation or its risk profile.

The costs associated with the change of service provider will not be charged to the Company, but absorbed by Nikko Asset Management Luxembourg S.A. acting as management company of the Company and/or its affiliates.

This change will be reflected in the next prospectus update, which may be obtained free of charge at the registered office of the Company once available.

If as a result of the transfer of the service provider functions, shareholders feel that the new proposed set-up does no longer meet their requirements they may request redemption of their Shares, free of any applicable redemption charges, until:

3:00 p.m. Luxembourg time on 15 October 2021 for the following sub-funds:

- Nikko AM Global Equity Fund
- Nikko AM Asia ex-Japan Fund
- Nikko AM Global Credit Fund
- Nikko AM ARK Disruptive Innovation Fund
- Nikko AM ARK Positive Change Innovation Fund

3:00 p.m. Luxembourg time on 14 October 2021 for the following sub-funds:

- Nikko AM Global Green Bond Fund
- Nikko AM RMB Bond Fund
- Nikko AM Asia Credit Fund
- Nikko AM Japan Value Fund.

Please note that any subscriptions / redemptions requests after the above cut-off times should be sent direct to BNPPSS. Details of where these should be sent will be included in a welcome letter from BNPPSS.

Please feel free to contact +352 47 40 66 7142 / +852 39 71 7142 / NIKKO_TA@BBH.com, who will forward your enquiry to BNPPSS for response directly to you.

Yours faithfully,



For the board of directors