

External Transfer IA to IA Distributor/Addendum for transfer of CPFOA-IA/SA-IA and SRS-IA holdings

Client Name: _____ Client's Phillip Account No. (To be transferred to): _____

*Please select accordingly

- External Transfer from iFast Financial/Fundsupermart
 Internal Transfer from Non-wrap Account No: _____

CPF Account no. : _____ (Required for CPFSA-IA)

CPF Agent Bank's Investment Account No. : _____ (Required for CPFOA-IA)

SRS Operator's Investment Account No. : _____ (Required for SRS-IA)

Name of funds	Fund Source (Circle as appropriate)	No. of units
	(CPFOA / SA / SRS)	
	(CPFOA / SA / SRS)	
	(CPFOA / SA / SRS)	
	(CPFOA / SA / SRS)	
	(CPFOA / SA / SRS)	
	(CPFOA / SA / SRS)	
	(CPFOA / SA / SRS)	

Internal Transfer

I hereby authorize Phillip Securities Pte Ltd ('PSPL') to perform the transfer in of the above funds and convert my holdings into CPF IA status by selling the above funds and buying back the exact units on the same day. Both transactions will be performed by PSPL.

External Transfer

I hereby authorize Phillip Securities Pte Ltd ('PSPL') to perform the transfer in of the above funds. To facilitate the transfer in, I will redeem my holding(s) with iFast Financial on the first or third Friday of the calendar month, while PSPL will subscribe for the units, as I have stated above, on that same day. I will bear any bank charges and any fees levied by the fund manager. I understand that any shortfall in my CPF OA / SA / SRS accounts might result in the force selling of my holding(s). I have attached a copy of my CPF statement for your reference.

NOTE:

Along with this form, please attach your latest statement of holdings and a copy of the signed redemption form that you submitted to your current distributor.

Transfers will be performed on every first and third Friday of the month (T day, the previous working day will apply if that Friday is a public holiday). The sales proceeds will be credited back to your CPF OA / SA / SRS account accordingly. PSPL will then request to withdraw an amount to pay for the subscription, of the funds stated above, on around T+6 business day. The whole transfer process will be completed upon successful withdrawal of funds from your CPF OA / SA / SRS account

Client's holdings will not change as long as we can successfully withdraw the full amount from your CPF OA / SA / SRS account.

The first \$40,000 in your CPF-SA account cannot be withdrawn. In event that PSPL is not able to withdraw the exact amount for the subscription, the units will be subjected to a force-sell. All force sell losses will be borne by the client. **FAC/Client will have to bear charges for the transfer of funds with a fund cut.**

Hereby I agree to undertake this transfer of my current unit trust(s) to Phillip Securities Pte Ltd.

- Internal Transfer: I acknowledge that PSPL will perform a paired transaction and transfer my holding(s) from my non-Wrap account to my Wrap account on the 1st or 15th calendar day of the month (or the next working day if it falls on weekend/public holiday).
- External Transfer: I will instruct iFast Financial to sell my holdings on _____ (dd/mm/yy).
 Date must be indicated as the first or third Friday of the calendar month.

I understand the above conditions and agree to transfer my holding(s) to Phillip Securities Pte Ltd and hereby agree to indemnify Phillip Securities Pte Ltd against any losses with regards to this transfer.

Signature of client: _____ Date: _____

For Official Use

Checked by UT Marketing	Received by UT Operations
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**Client's acknowledgement of the External Transfer IA to IA
 Distributor / Addendum for transfer of CPFOA-IA/SA-IA and SRS-IA holdings**

- I will sell holdings with iFast Financial on the first or third Friday of the calendar month.
- My holdings are still CPF (OA/SA) or SRS approved funds
- I am fully aware of the external transfer procedure. (A buy and sell transactions will be performed)
- I am aware that any unsuccessful CPF/SRS withdrawal will lead to the force selling of the holdings
- I am aware that I will be liable for any force sell losses in the event of unsuccessful collections.
- All funds in the portfolio are not subjected to a fund cut. If there is any fund that has a fund cut, the client will be liable for the fund cut. For full listings of the fund without the fund cut, please refer to www.poems.com.sg/unittrust
 >> Highlights >> Promotions >> Promotional sales charge >> View full fund selection.
- *Only applicable for SA transfers: I have sufficient money in my CPF SA account

Note:

It is advisable to withdraw \$100 lesser than the current portfolio amount to ensure a smooth transfer and prevent force selling due to charges levied by your agent bank. You can do that by requesting PSPL to subscribe for a quantity that is lesser than the redemption quantity, and equivalent to \$100.

PSPL is **NOT** able to view the CPF Balance of a client. As such, in the event of re-withdrawal, the client will have to find out from the agent bank or CPF Board the exact amount which PSPL can request to withdraw, after taking into account any bank charges.

We require a copy of the client's CPF statement if he/she wishes us to subscribe for the exact units. However, the client is still liable for any losses or costs associated.

The following are the bank charges as we know them now (subject to change by the banks):

	OCBC	DBS	UOB
On successful transaction	\$2.68	\$2.68	\$2.14
On unsuccessful transaction	\$5.35	\$0	\$5.35

If a client is requesting for a special transfer of 3 funds requiring sell and buy transactions, the following is an indicative computation of the agent bank charges (taking the highest):

Redemption proceeds credited back by the fund manager	\$8.04 (3 * 2.68)
One Time withdrawal by PSPL	\$2.68
Total	\$10.72

On top of the above, the agent bank could have accrued other bank charges.

Client Signature: _____

Date: _____

Financial Advisor Name / Code (if applicable): _____

Financial Advisor Signature / Date (if applicable): _____

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TRANSFER INSTRUCTIONS

SG_20110321

CPFIS-OA/SA, SRS (IA status) to Phillip Securities Pte Ltd

*denotes mandatory field (if applicable)

1. Account Details 帐户资料*		
* Account Number: _____	* iFAST FA Code: _____	
* Account Type: <input type="checkbox"/> Wrap Personal <input type="checkbox"/> Wrap Joint <input type="checkbox"/> Non-Wrap Personal <input type="checkbox"/> Non-Wrap Joint	* iFAST FA Name: _____ (Applicable only if Advisor assisting on IA transfer is also the authorised FA rep of the iFAST account)	
Applicant 申请者* (Please underline surname)		
*Full Name of Main Account Holder (as in NRIC/Passport) 主要申请者姓名 <input type="checkbox"/> Dr <input type="checkbox"/> Mr <input type="checkbox"/> Ms <input type="checkbox"/> Mrs <input type="checkbox"/> Mdm	*NRIC / Passport No. 身份证/护照号码	
*Name of Joint Account Holder (if applicable) 联名申请者姓名 <input type="checkbox"/> Dr <input type="checkbox"/> Mr <input type="checkbox"/> Ms <input type="checkbox"/> Mrs <input type="checkbox"/> Mdm	*NRIC / Passport No. 身份证/护照号码	
CPFIS-OA/SA, SRS (IA status) unit holdings details*		
Note: Units will be sold at iFAST Financial Pte Ltd and purchased at Phillip Securities Pte Ltd concurrently.		
Fund Name	Number of Units	CPF/SRS Holdings
		<input type="checkbox"/> OA <input type="checkbox"/> SA <input type="checkbox"/> SRS
		<input type="checkbox"/> OA <input type="checkbox"/> SA <input type="checkbox"/> SRS
		<input type="checkbox"/> OA <input type="checkbox"/> SA <input type="checkbox"/> SRS
		<input type="checkbox"/> OA <input type="checkbox"/> SA <input type="checkbox"/> SRS
		<input type="checkbox"/> OA <input type="checkbox"/> SA <input type="checkbox"/> SRS
		<input type="checkbox"/> OA <input type="checkbox"/> SA <input type="checkbox"/> SRS
		<input type="checkbox"/> OA <input type="checkbox"/> SA <input type="checkbox"/> SRS
		<input type="checkbox"/> OA <input type="checkbox"/> SA <input type="checkbox"/> SRS
Settlement Instruction for CPF Ordinary/Special Account 公积金普通/特别户口交易指示 (where applicable 若适用)		
<p>To: The Central Provident Fund Board I hereby irrevocably authorise the CPF Board or its appointed agents to have access to and to request for any information regarding my account with the Managers for the purpose relating to and in connection with this application; in addition, where applicable, to:</p> <p>1. Debit my CPF Special Account the sum of monies specified by the respective fund manager or the amount determined by the Board for the purchase/placement of the above mentioned fund including any related fees, expenses and charges under the CPF Investment Scheme – Special Account (CPFIS-SA). 2. Credit my CPF Special Account with any income or any proceeds from the liquidation of the relevant fund under the CPFIS-SA that are received from the respective fund manager. 3. Disclose any particulars or information whatsoever relating to or in connection with my investment with the respective fund manager to facilitate any transactions that cannot be settled due to data discrepancies, insufficient funds or any other reasons that the Board deems fit. I understand that the above transactions shall be made, subject to the provisions of the Central Provident Fund Act and the Central Provident Fund (Investment Schemes) Regulations as may be amended from time to time and also to all such terms and conditions as may be imposed by the Board from time to time. I hereby agree to indemnify the Board and shall keep the Board indemnified against all actions, proceedings, liabilities, claims, damages, expenses or legal costs whatsoever arising out of or in connection with the Board accepting and acting upon this authorisation.</p>		
Declaration 声明		
I/we hereby instruct and authorize the Company to carry out my/our instructions as indicated on this Form.		
*Main Account Holder's Signature / Date		*Joint Account Holder's Signature / Date
For Official Use 内部使用		
Created by:	Approved by/Date:	
iFAST Financial Pte Ltd (Company Registration No. 200000231R) 10 Collyer Quay #26-01 Ocean Financial Centre Building Singapore 049315		

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#06-00 RAFFLES CITY TOWER
SINGAPORE 179101

ATTN: UNIT TRUST MARKETING