

# REDEMPTION FORM

Navigator Investment Services Limited ("Navigator")



**IMPORTANT:** All relevant fields must be duly completed, otherwise the application may not be processed. Please denote N.A. if not applicable. Navigator's receipt of the application form and supporting documents does not bind Navigator to accept the application or process the instruction stated. Navigator reserves the right, in its absolute discretion, to reject the application without providing any reason to the applicant or any other person and shall not be responsible for any losses, damages or expenses arising from or suffered or incurred as a result of such rejection.

## Particulars of Financial Adviser Representative

Name of Financial Adviser Representative  Navigator Code  8

Name of Financial Adviser (Company)

## CLIENT'S DETAILS

Main Client's Name/Company Name  NRIC/FIN/Passport No./Company Registration No

Joint Client's Name  NRIC/FIN/Passport No

## SECTION 1: DECLARATION OF BENEFICIAL OWNER

**Note:** This is only applicable if the recipient of the proceeds is a legal person or a legal arrangement.

☐ I/We declare that there is no change in Beneficial Owner(s).

Otherwise, please submit the Declaration of Beneficial Owner Form together with this form if there is any change in the Beneficial Ownership. You may find the Declaration of Beneficial Owner Form in our Aviva Website via this link [www.aviva.com.sg/navigator-tnc](http://www.aviva.com.sg/navigator-tnc).

"Beneficial owner" means the natural person who ultimately owns or controls the customer or the natural person on whose behalf business relations are established and includes any person who exercises ultimate effective control over a legal person or legal arrangement.

"Legal person" means an entity other than a natural person that can establish a permanent customer relationship with a financial institution or otherwise own property.

"Legal arrangement" means a trust or other similar arrangement.

## SECTION 2: FULL REDEMPTION & ACCOUNT CLOSURE

### IMPORTANT NOTES:

By default, your Regular Subscription will be terminated upon full redemption. Please tick if you wish to continue your Regular Subscription.

Sub-Account No.	Continue Regular Subscription
<input type="text"/>	<input type="radio"/> Yes
<input type="text"/>	<input type="radio"/> Yes

## SECTION 3: PARTIAL REDEMPTION

### IMPORTANT NOTES:

- You can partially redeem up to 95% of Sub-Account value. If the remaining balance in your Sub-Account falls below the minimum balance set out in the Schedule for Funds Investment, the Company shall redeem your holdings fully and proceed with the closure of your Sub-Account.
- Please tick Units / (%) / (\$) to specify redemption values. Please indicate redemption values up to 2 decimal places.
- If redemption values are in dollar amounts, amount indicated will be used to calculate the number of units to be redeemed based on latest available price. Actual redeemed amount will be transacted based on prevailing price.
- Trades may be delayed if fund code(s) is not stated. Where discrepancy exists between fund codes and fund names, Navigator will refer to the fund codes for redemption.

Sub-Account No.	Fund Code	Fund Name	Units	(%)	(\$)	Value
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>

## SECTION 4: NOMINATION/CHANGE OF BANK ACCOUNT FOR SETTLEMENT INSTRUCTION – Applicable for General Investment Account only.

☐ Credit to Bank Account (Please complete details below)

☐ Cheque (Cheque will be sent to your correspondence address)

Name of Bank  Bank Account Number

SWIFT Code (Only for payments made via Telegraphic Transfer)

- Your redemption proceeds and cash dividend payout (if any) from this sub-account(s) will be credited into your nominated bank account.
- Please attach the **proof of bank account** with the display of bank's logo/name, account holder's name and account number, for example, copy of bank statement.
- CPF-OA and SRS payment will be remitted to your agent bank or SRS operator for the credit of your CPF Ordinary/SRS Account, as the case may be. CPF-SA payment will be remitted to your CPF Special Account.
- Payment to a third party bank account is not allowed.
- Telegraphic transfer is subject to bank charges and foreign exchange (if applicable).

## SECTION 5: DECLARATION AND SIGNATURE

I/We

- have received, read and agreed to comply with and be bound by Schedule for Funds Investment, Investment Account Terms and Conditions and any other terms and conditions that Navigator may issue from time to time (including the terms stipulated in the Cancellation Notice), and acknowledge that this application is subject to the same. The documents are available on [www.aviva.com.sg/navigator-tnc](http://www.aviva.com.sg/navigator-tnc);
- have received and read the offer documents of each Fund being switched/subscribed into;
- (in the case of individual subscriber(s)) confirm that I/we am/are not an un-discharged bankrupt(s), have not committed any act of bankruptcy within the last 12 months and no bankruptcy order has been made against me/us during that period, and I/we am/are not subject to any order made under the Mental Treatment and Disorders Act (Cap. 178 of Singapore);
- (in the case of a corporate subscriber) confirm that we are not insolvent and that no proceedings have been taken, no order has been made and no resolution has been passed for our winding up, judicial management or liquidation or other similar action;
- (in the case of a subscription into an Accredited Investor Fund) confirm and warrant that I/we am/are an “accredited investor” or a person within the meaning of “relevant person” as defined in section 305 of the Securities and Futures Act (Cap. 289 of Singapore) and in accordance with the conditions specified in that section;
- represent and warrant that all information given to Navigator is true and correct, undertake to inform Navigator of any change to the information supplied as and when such changes occur, and undertake to provide Navigator further information and/or documentary evidence in respect to the information given in this application;
- acknowledge that Navigator may receive certain notices in relation to my/our investments and Navigator may not forward these notices to me/us;
- consent to disclosure to or by Navigator of any information in relation to my/our Account to or by any of its affiliates (including Aviva Ltd), any person or entity required to facilitate the operation of my/our Account, and/or to comply with all applicable laws, regulations, notices and guidelines;
- authorise Navigator to take all actions and do all things which may be required or which Navigator may, in their absolute discretion, deem necessary to comply with any law, rule or regulation of any authority or jurisdiction;
- acknowledge that Navigator may reject any of my/our instructions including, but not limited to, those that are incomplete, unclear or ambiguous, or if my/our signature(s) differ(s) from what was originally provided as a specimen to Navigator, and Navigator will not be responsible for any losses that may be suffered by me/us due to such rejection of any of my/our instructions;
- consent that any communication from Navigator (including notices, confirmations, Fund reports and transaction statements) may be sent to me/us via secured electronic mail via the Secured Website or via such other methods in circumstances as may be determined by Navigator from time to time at its sole discretion;
- understand that the products mentioned are produced in/authorised for sale in Singapore. By proceeding to act on this information I/we am/are warranting that I/we am/are either a Singapore resident or that the laws and regulations of the jurisdiction I/we am/are in allow me/us to do so;
- am/are aware that, where I/we have applied to close a Sub-Account, I/we may incur additional charges if I/we subsequently apply for a new Sub-Account, and such Sub-Account may be on different terms and conditions;
- in respect of transactions using CPF or SRS monies, I/we acknowledge that Navigator may be required to comply with such rules and regulations and/or terms and conditions relating to that of the CPFIS and/or SRS, as may be applicable;
- acknowledge and take sole responsibility for any investment decisions committed without the benefit of advice from a tax, legal or Financial Adviser and I/we declare that I/we will not hold Navigator or any of its officers, employees or agents liable in any respect whatsoever for any losses that I/we may suffer as a direct or indirect consequence of my/our own investment decision(s);
- understand that no liability can be accepted by Navigator for any legal consequences under the laws of any other country or tax implications that may arise in connection with my/our investment. I/We am/are also responsible for my/our own tax affairs and hereby declare that I/we have not been convicted of any serious tax crimes;
- agree that Navigator shall rely on and act upon this Form and the signature (digital or otherwise) in this Form, that are delivered to Navigator electronically, for purposes of the transaction, executing my / our instructions, and / or updating my / our statuses or particulars. Navigator shall act upon it as if the wet ink signed original had been received; and
- agree that the risk of and responsibility for any transaction, instructions or updates in this Form delivered to Navigator via the use of electronic services and the digital signature therein not being genuine or being forged, fraudulent, ambiguous or erroneous lies fully and solely with me / us.

**Signature of Main Client/  
Company Authorised Signatory 1 and Company Stamp**

 /  / 

**Date (DD/MM/YYYY)**

**Signature of Joint Client/  
Company Authorised Signatory 2 (if applicable)**

 /  / 

**Date (DD/MM/YYYY)**

**Note:** For Joint account, both clients to sign if the ‘both to sign’ option was selected upon account opening.

### FINANCIAL ADVISER REPRESENTATIVE’S DECLARATION

- a) I have not withheld any information provided by the Client or any information which may influence the Client’s application.
- b) To comply with applicable law, regulations and guidelines, I undertake to inform Navigator immediately of any suspicious transactions including serious tax crimes.
- c) The Client has signed (in ink or digitally) this Form and I confirmed such signature is the Client’s and Navigator shall be entitled to:
- rely on and act upon this Form and signature in this Form for purposes of executing the transactions, Client’s instructions and/or updating Client’s statuses or particulars and take such steps in connection with or in relation thereto as Navigator may in its discretion deem appropriate; and
  - rely on the receipt of the Form so signed and delivered electronically as if the wet ink signed original had been received by Navigator.
- d) I undertake to indemnify and keep Navigator and / or its associates indemnified from and against all costs, claims, losses, damages, demands, liabilities, actions, proceedings, awards and judgments (including all legal costs and expenses) incurred by or brought against Navigator and / or its associates or any directors, officers, employees, agents, contractors or sub-contractors arising out of or connected with:
- acting on any instructions given via email or other electronic services (notwithstanding that such instructions emanate from unauthorized persons), a decision not to act on such instructions or non-receipt of such instructions;
  - the digital signature or anything not being genuine or being forged, fraudulent, ambiguous or erroneous any act or omission relating to any instructions on this Form;
  - any negligence, fraud, default, misconduct or breach of any representation, warranty or undertaking by me or the Client; or
  - any act or omission or breach that causes Navigator and / or its associates to be in breach of, default of or fail to comply with any laws, regulations, guidelines and other legislative or regulatory requirements.

**Signature of Financial Adviser Representative**

 /  / 

**Date (DD/MM/YYYY)**