



**REDEMPTION FORM**

**IMPORTANT:**  
 All relevant fields must be duly completed; otherwise the application may not be processed. Please denote **N.A.** if not applicable. Navigator’s receipt of the application form and supporting documents does not bind Navigator to accept the application or process the instruction stated. Navigator reserves the right, in its absolute discretion, to reject the application without providing any reason to the applicant or any other person and shall not be responsible for any losses, damages or expenses arising from or suffered or incurred as a result of such rejection.

**FINANCIAL ADVISER DETAILS**

Name of Financial Adviser Representative (“FAR”)  FAR Code   
 Name of Financial Adviser

**CLIENT’S DETAILS**

Main Client’s Name/Company Name  NRIC/Passport No./Company Registration No./Unique Entity   
 Joint Client’s Name  NRIC/Passport No.

**SECTION 1: FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) DECLARATION**

**Do you / your entity / any of your Directors/Shareholders/Partners/Authorised Signatory/Beneficial Owner have one or more U.S. Indicia\*?**  
 \*U.S. Resident / Citizen / Place of Birth / Taxpayer ID number / Mailing or Residential Address / Contact Number  
 If your answer is “Yes”, please complete the United States of America (U.S.) Person Declaration Form (Available on Aviva Online (AOL))

<b>Main Client</b> <input type="checkbox"/> Yes <input type="checkbox"/> No	<b>Joint Client</b> <input type="checkbox"/> Yes <input type="checkbox"/> No
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**SECTION 2: FULL REDEMPTION**

**Important Note:** By default, your Easy Save Option (ESO) will be terminated upon full redemption. Please tick if you wish to continue your ESO.

Plan No	Continue ESO
	<input type="checkbox"/> Yes
	<input type="checkbox"/> Yes
	<input type="checkbox"/> Yes

**SECTION 3: PARTIAL REDEMPTION**

Plan No.	Fund Name / Fund Code	Units / (%)

**SECTION 4: NOMINATION/CHANGE OF BANK ACCOUNT FOR SETTLEMENT INSTRUCTION** (Applicable for Cash Investment Plan only)

Cheque (Cheque will be sent to your mailing address)

Credit to Bank Account

Name of Account Holder(s)

Name of Bank

Name of Branch

Bank Account Number

Apply nominated bank account to ALL Navigator Cash Investment plans

- (1) Your redemption proceeds and cash dividend payout (if any) from this plan(s) will be credited into your nominated bank account.
- (2) Please attach the **proof of bank account** with the display of account number and account holder's name i.e. bank statement or internet banking print screen.
- (3) CPF-OA, CPF-SA and SRS payment will be remitted to your Agent Bank or SRS operator for the credit of your CPF Ordinary/Special/SRS Account, as the case may be. CPF-SA payment will be remitted to your CPF Special Account.
- (4) 3<sup>rd</sup> Party payment is not allowed.

**SECTION 5: DECLARATION AND SIGNATURE**

I/We

- have received, read and agreed to comply with and be bound by the Terms and Conditions and any other terms and conditions that Navigator may issue from time to time (including the terms stipulated in the Cancellation Notice), and acknowledge that this application is subject to the same;
- have received and read the offer documents of each Fund being switched/subscribed into;
- confirm that I/we am/are not an un-discharged bankrupt(s), have not committed any act of bankruptcy within the last 12 months and no bankruptcy order has been made against me/us during that period, and I/we am/are not subject to any order made under the Mental Treatment and Disorders Act (Cap. 178 of Singapore);
- (in the case of a subscription under an Accredited Invest Plan) confirm and warrant that I/we am/are an "accredited investor" or a person within the meaning of "relevant person" as defined in section 305 of the Securities and Futures Act (Cap. 289 of Singapore) and in accordance with the conditions specified in that section;
- Represent and warrant that all information given to Navigator is true and correct and undertake to inform Navigator of any change to the information supplied as and when such changes occur;
- acknowledge that Navigator may receive certain notices in relation to my/our investments and Navigator may not forward these notices to me/us;
- consent to disclosure to or by Navigator of any information in relation to my/our Account to or by any of its affiliates (including Aviva Ltd), any person or entity required to facilitate the operation of my/our Account, and/or to comply with all applicable laws, regulations, notices and guidelines, whether or not having the force of law;
- authorise Navigator to take all actions and do all things which may be required or which Navigator may, in their absolute discretion, deem necessary to comply with any law, rule or regulation of any authority or jurisdiction;
- acknowledge that Navigator may reject any of my/our instructions including, but not limited to, those that are incomplete, unclear or ambiguous, or if my/our signature(s) differ(s) from what was originally provided as a specimen to Navigator, and Navigator will not be responsible for any losses that may be suffered by me/us due to such rejection of any of my/our instructions;
- consent that any communication from Navigator (including notices, confirmations, Fund reports and transaction statements) may be sent to me/us via secured electronic mail via the Secured Website or via such other methods in circumstances as may be determined by Navigator from time to time at its sole discretion;
- understand that the products mentioned are produced in/authorised for sale in Singapore. By proceeding to act on this information I/we am/are warranting that I/we am/are either a Singapore resident or that the laws and regulations of the jurisdiction I/we am/are in allow me/us to do so;
- am/are aware that, where I/we have applied to close a Plan Account, I/we may incur additional charges if I/we subsequently apply for a new Plan, and such Plan may be on different terms and conditions;
- in respect of Transactions using CPF or SRS monies, I/we acknowledge that Navigator may be required to comply with such rules and regulations and/or terms and conditions relating to that of the CPFIS and/or SRS, as may be applicable; and
- acknowledge and take sole responsibility for any investment decisions committed without the benefit of advice from a tax, legal or Financial Adviser and I/we declare that I/we will not hold the Company or any of its officers, employees or agents liable in any respect whatsoever for any losses that I/we may suffer as a direct or indirect consequence of my/our own investment decision(s).
- understand that no liability can be accepted by Navigator for any legal consequences under the laws of any other country or tax implications that may arise in connection with my / our investment. I am also responsible for my own tax affairs and hereby declare that I have not been convicted of any serious tax crimes.

Signature of Main Client/  
Company Authorised Signatory 1

Name

Date (DD/MM/YYYY)

Signature of Main Client/  
Company Authorised Signatory 2 (if applicable)

Name

Date (DD/MM/YYYY)

**Note:** For Joint account, both clients to sign if the 'both to sign' option was selected upon account opening.