

**ACKNOWLEDGEMENT & AGREEMENT TO COMPLY WITH REGULATORY REQUIREMENTS
 (INDIVIDUAL/JOINT)**

To: Phillip Securities Pte Ltd

A. CONSENT TO USE OF PERSONAL DATA UNDER PERSONAL DATA PROTECTION ACT ("PDPA")

1. I/We authorise, agree and consent to allow Phillip Securities Pte Ltd ("PSPL"), its employees, agents and third party service providers to:
 - a) collect, use, disclose, transfer, and process all my personal data (hereinafter referred to as "Personal Data") provided by me/us now or subsequently provided by me/us from time to time for the purposes listed below (the "**Purposes**"):
 - account opening and operations relating to my/our account with PSPL;
 - to provide and/or update me/us with marketing, advertising and promotional information and/or materials relating to the investment products and/or services that PSPL may be offering or managing, whether such products or services exist now or are created in the future;
 - to administer and/or manage the relationship between me/us and PSPL;
 - to comply with the requirement of any relevant law or regulatory authority..
 - b) send me/us marketing, advertising and promotional information and/or materials relating to investment products and/or services offered by PSPL through the following modes of communication:
 - postal mail and/or electronic transmission to my/our email address; and
 - to my/our telephone contact number(s) by way of voice, text (SMS/MMS), fax, VOIP-based smart phone application such as Whatsapp, Viber, etc.
 - c) where required under certain circumstances, transfer my/our Personal Data to PSPL's associated group companies, third party service providers and agents, whether in or outside of Singapore, for the Purposes stated above.
2. I/We understand that I/we am/are entitled to withdraw my/our consent for the collection, use and disclosure of my/our Personal Data at any point in time by notifying the Data Protection Officer (contact details available on www.phillip.com.sg) in writing. I/We have been advised and understand that my/our withdrawal of consent may cause PSPL to be unable to provide me/us services which PSPL may be contractually obliged to provide.

B. ADDITIONAL DECLARATION & ACKNOWLEDGEMENT - COMPLIANCE WITH TAX REQUIREMENTS

I/We hereby declare and acknowledge that, any funds and/or assets placed now or subsequently provided by me/us from time to time to PSPL will at all times comply with all laws to which I/we am/are subject to, including but without limitation to all tax laws and regulations.

In relation to tax residence, I/we declare that I/we am/are a FOREIGN tax resident ☐ YES ☐ NO

Please list ALL the countries in which you are tax resident.*

(1) _____ / _____	
Country	Tax Identification no.
(2) _____ / _____	
Country	Tax Identification no.
(3) _____ / _____	
Country	Tax Identification no.

***A U.S. citizen is considered a tax resident of the United States even if a tax resident of another jurisdiction. PSPL will not accept applicant who is a U.S Person.**

I/We hereby declare and acknowledge that, any funds and/or assets placed now or subsequently provided by me/us from time to time with PSPL will at all times comply with all laws to which we are subject to, including but without limitation to all tax laws and regulations.

I/We will promptly notify you in writing of any change in my/our particulars, circumstances, status, including any change in citizenship, residence, tax residency, address(es) on record, telephone and and/or email addresses.

I/We hereby agree that information collected by PSPL on my/our account will be disclosed when required by any applicable tax laws and regulations (including applicable laws imposing any reporting and/or withholding obligations on us, such as the United States Foreign Account Tax Compliance Act, as may be amended, varied, supplemented or replaced from time to time).

Applicant's

Applicant's

Name:

Date