

FORM OF TRANSFER

(FOR DISCLOSED ACCOUNTS – CPF / SRS / SECTION 15 CASH / CASH DIRECT)

Name of Fund : <i>(where Units are to be transferred)</i>	
Number of Units : <i>(in figures/please also indicate if your units were invested using CPF / SRS / Section 15 Cash or Cash Direct)</i>	
UNITHOLDER(S) PARTICULARS <i>(in BLOCK letters)</i>	
Main Unitholder's Name: _____	
NRIC/Passport*: _____	Date of Birth: _____
Telephone No. _____	CPF Investment Account No.: _____
Mailing Address : _____ _____	
Joint Unitholder's Name : _____ <i>(if any)</i>	
NRIC/Passport: _____	
NRIC/Passport*: _____	Date of Birth: _____
Telephone No. _____	
Mailing Address : _____ <i>(if different from the above)</i>	
Existing Distributor Name : _____	
Existing Distributor ID: _____	Existing Register ID: _____
New Distributor Name: _____	
New Distributor ID: _____	New Register ID: _____
Dividend Instructions: <input type="checkbox"/> Reinvest in further units <input type="checkbox"/> Cash Dividend Payout (Only if applicable, to be paid by cheque to me/us)	
NOTE: The following particulars must be received before units can be transferred: (1) Name of unit holder(s) in FULL; (2) Address of unitholder(s); (3) Name of fund and number of unit holdings : (4) A copy of the unitholder(s) Identity Card (NRIC) / Passport / Other Identification must be attached (for joint holders who are minors, a copy of the Birth Certificate is required); (5) When a transfer instruction is executed out of Singapore, the signatures to this Form of Transfer must be duly attested by the Notary Public.	
SIGNATURE OF MAIN APPLICANT: _____ Date _____	SIGNATURE / SEAL OF EXISTING DISTRIBUTOR/AGENT: _____ Date : _____
SIGNATURE OF JOINT APPLICANT (if any): _____ Date :	SIGNATURE / SEAL OF NEW DISTRIBUTOR/AGENT: _____ Date :
NOTE: I/We* hereby agree to allow Nikko Asset Management Asia Limited to release my/our particulars and the details of my investment in the above mentioned fund(s) to my/our newly appointed distributor/agent.	
* delete where appropriate	

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(FOR DISCLOSED ACCOUNTS – CPF / SRS / SECTION 15 CASH / CASH DIRECT)

PERSONAL DATA PROTECTION

I/we* hereby consent to the collection, use and disclosure of the information provided for the purpose of carrying out my/our* instructions herein and all other reasonably related purpose(s) in accordance with the PDPA. In the event of any information provided relating to a third party, I/we* represent and warrant by providing such information to you that such third party's consent has been obtained for the collection, use and disclosure of such information. I/we* hereby acknowledge that the information provided may be disclosed by you to your related corporations and affiliates, your approved distributors and other appointed representatives, agents and/or service providers (including your middle and back office service providers) and each of its related corporations and affiliates.

I/we* hereby agree to indemnify you and to at all times keep you fully and effectively indemnified against any and all losses, claims, demands, costs, expenses, damages, liabilities, obligations, judgments, penalties, suits, actions, proceedings and assessments (including without limitation the costs of investigating, disputing, defending or settling any of the aforesaid matters and legal costs on a full indemnity basis) which may be made or instituted against or sustained, suffered or incurred by you arising out of or in connection with any breach on my/our* part of the terms of this form and/or any act or omission on my/our* part that causes you to be in breach of the PDPA.

The term "PDPA" in this form shall mean the Personal Data Protection Act 2012 and any regulations and guidance issued in connection thereto, as each may be modified, amended, supplemented, re-enacted or re-constituted from time to time.

FOREIGN ACCOUNT TAX COMPLIANCE

I/We* hereby represent and warrant that I/we* am/are* a FATCA Exempt Party. In the event that I/we* subsequently become aware that I/we* am/are* not, or has ceased to be a FATCA Exempt Party, I/we* shall notify you promptly without undue delay. I/We* undertake to provide to you all documentation or information promptly upon reasonable request by you from time to time as shall be necessary to allow you to comply with all applicable requirements of FATCA including the collection, storage, and disclosure by you of any confidential information to persons from whom you receive or make payments for my/our* account and to governmental authorities as required by laws and regulations or other agreement by or between governments in connection with FATCA. I/We* understand and acknowledge that in the event of any failure to provide accurate and timely information required you shall have the right and reserve the full discretion to deem me/us* recalcitrant and/or reportable and you shall be entitled in your absolute discretion to take all necessary action(s) against me/us* in order for you to be compliant with requirements under FATCA, including but not limited to any local legislation enacted in connection with FATCA as the same may be modified, amended, supplemented, re-enacted or re-constituted from time to time. I/We* hereby authorise you to withhold or otherwise collect from me/us* any payment any required tax or other government assessment, including but not limited to any requirement to withhold or deduct any amount pursuant to FATCA.

I/We* hereby agree to indemnify you and to at all times keep you fully and effectively indemnified against any and all losses, claims, demands, costs, expenses, damages, liabilities, obligations, judgments, penalties, suits, actions, proceedings and assessments (including without limitation the costs of investigating, disputing, defending or settling any of the aforesaid matters and legal costs on a full indemnity basis) which may be made or instituted against or sustained, suffered or incurred by you arising out of or in connection with any breach on my/our* part of the terms of this instruction and/or any act or omission on my/our* part that causes you to be in breach of FATCA.

Unless the context otherwise requires, the following words and expressions in this instruction shall have the following meanings:

- (a) "**FATCA**" means sections 1471 through 1474 of the United States Internal Revenue Code and any regulations and other guidance issued in connection thereto or any other agreement entered into with or between authorities and governments arising out of or in connection with FATCA or the implementation thereof, as each may be modified, amended, supplemented, re-enacted or re-constituted from time to time.
- (b) "**FATCA Exempt Party**" means a person who is not a US Person and who is to receive payments free from any deduction or withholding required by FATCA.
- (c) "**US**" means the United States of America.
- (d) "**US Person(s)**" means a US Citizen or resident individual, a partnership or corporation organised in the US or under the laws of the US or any state of the US, or a trust if (i) a court within the US would have authority under applicable law to render orders or judgments concerning substantially all issues regarding administration of trust, and (ii) one or more US Person(s) have the authority to control all substantial decisions of the trust, or an estate of a decedent that is a citizen or resident of the US. This definition shall be interpreted in accordance with the US Internal Revenue Code. Please note that persons who have lost their US citizenship and who live outside the US may nonetheless in some circumstances be treated as US Person(s).

* *delete where appropriate*