

# UOB ASSET MANAGEMENT LTD

Attention: UOB UTS - 156 Cecil Street #08-03 Far Eastern Bank Building Singapore 069544



## TRANSFER OF SCRIPLESS UNIT TRUST *(Please read notes on reverse side before completing this form)*

### SECTION A: TO BE COMPLETED BY TRANSFEROR(S)

Account No. : \_\_\_\_\_ Date : \_\_\_\_\_  
Name of Transferor (Main) : \_\_\_\_\_ NRIC / Passport No. : \_\_\_\_\_  
Name of Transferor (Joint) : \_\_\_\_\_ NRIC / Passport No. : \_\_\_\_\_

| Fund Name | Units to be Transferred | Amt Payable & Payment Mode                 |
|-----------|-------------------------|--|
| 1.        |                         | Total payable: _____                       |
| 2.        |                         | <input type="checkbox"/> Cash              |
| 3.        |                         | <input type="checkbox"/> Cheque No.: _____ |

*Fee of \$10 +GST payable for each fund transferred*

I/We hereby request you to transfer the abovementioned unit trust in my/our Account to the transferee(s) mentioned below.

#### Individual Transferor(s):

#### Corporate Transferor:

\_\_\_\_\_  
Signature of Transferor (Main)

\_\_\_\_\_  
Common Seal

\_\_\_\_\_  
Signature of Transferor (Joint)

\_\_\_\_\_  
Signature of Director / Secretary

### SECTION B: TO BE COMPLETED BY TRANSFEREE(S)

Please transfer the abovementioned unit trust to my/our Account.

Account No.\* : \_\_\_\_\_ Date : \_\_\_\_\_  
Name of Transferee (Main) : \_\_\_\_\_ NRIC / Passport No. : \_\_\_\_\_  
Name of Transferee (Joint) : \_\_\_\_\_ NRIC / Passport No. : \_\_\_\_\_  
Address : \_\_\_\_\_

Dividend Instruction (Please tick one) :  Pay me/us by cheque  Re-invest in further units

Signing Condition (Please tick one) :  Both unitholders to sign  Either unitholder to sign

#### Individual Transferee(s):

#### Corporate Transferee:

\_\_\_\_\_  
Signature of Transferee (Main)

\_\_\_\_\_  
Common Seal

\_\_\_\_\_  
Signature of Transferee (Joint)

\_\_\_\_\_  
Signature of Director / Secretary

*\*Leave blank for new account. Please attach a copy of each unitholder's NRIC / Passport / Company Resolutions.*

### SECTION C: TO BE COMPLETED BY WITNESS

\_\_\_\_\_  
Signature of Witness    Name & NRIC / Passport No.    Address

*(Note: A husband must not witness the signature of his wife or vice-versa)*

#### FOR BANK USE

#### FOR UOB ASSET MANAGEMENT LTD USE ONLY

Signature & particulars verified by:

Date

Transaction Reference

\_\_\_\_\_  
Name & Signature

\_\_\_\_\_  
Date

Checked by

Approved by

## IMPORTANT

### Terms and expressions used in this form bear the same meaning as in the Trust Deed

1. All Transfers of Units shall be in accordance with the provisions of the Trust Deed.
2. A Holder or Joint Holders, wishing to transfer all or part of his/their holdings to some person other than the Manager, must complete this form which must be submitted, together with the Confirmation Advice(s) representing the Units to be transferred, to the office of the Manager for the transfer to be registered. Failure to complete this form in accordance with the notes and instructions herein may result in this form being treated as null and void.
3. Holders are entitled to transfer all or any of the Units held by them provided that no transfer of a part of a holding of Units shall be registered if in consequence of the transfer either of the Transferor or the Transferee will be the Holder of Units representing less than S\$1,000 in value truncated but not rounded off to the nearest two decimal places at the date of the transfer.
4. In the case of Joint Holders, both Joint Holders must sign this form but only one address may be entered on the reverse side of this form and all correspondences will be sent to that address. Please note that under the Trust Deed, no more than two persons are permitted to be registered as Joint Holders of Units.
5. Where the Transferor or Transferee is a corporation, this form must be executed under its common seal in accordance with its Articles of Association. The Transferee corporation, if not an existing Holder, must enclosed a certified true copy of its Memorandum and Articles of Association when submitting the transfer form for registration and produce such other documents as may, from time to time, be required for inspection by the Manager.
6. Transfers to sole proprietorships and partnerships will not be accepted by the Manager.
7. A fee S\$10.00 or such amount as the Trustee and the Manager may from time to time agree is payable to the Manager for registration of each transfer and the issue of a Confirmation Advice in the name of the Transferee. Such fee must be paid before the registration of each transfer. Government taxes and duties may be payable in connection with a transfer of Units and such taxes and duties must be paid before the Manager effects a transfer.
8. No certificate of title to Units will be issued to the Transferee. The Transferee will receive a Confirmation Advice(s) of each Transfer and a Statement of Account(s) indicating the number of Units held.
9. The Trust Deed, containing terms and conditions of transfer of Units, may be inspected free of charge at the office of the Manager during normal business hours or may be purchased from the Manager S\$20.00 per copy.
10. All Transferors\* and Transferees must submit this form in person to the Manager for registration of the transfer and must produce satisfactory evidence of identification without request. *\*applies to Individual Transferors only*
11. The Transferee(s) must notify the Managers immediately of any change in his/their particulars provided in this transfer form.