

31 March 2021

Dear Unitholder

**NIKKO AM FUNDS' ANNUAL ACCOUNTS, REPORT OF THE AUDITORS ON THE ANNUAL ACCOUNTS AND ANNUAL REPORTS FOR THE FINANCIAL YEAR ENDED 31 DECEMBER 2020**

We are pleased to inform you that electronic copies of the annual accounts, report of the auditors on the annual accounts and annual reports (collectively known as “the Reports”) of Nikko AM Funds (found in Appendix A of this letter) for the financial year ended 31 December 2020 are available on our website. The Reports can be downloaded at [www.nikkoam.com.sg](http://www.nikkoam.com.sg) and will remain on our website for 12 months from the date of this letter.

As such, printed copies of the Reports for the financial year ended 31 December 2020 will not be sent to unitholders. If you would like to receive printed copies of the Reports for the fund(s) you have invested in, please inform your appointed distributor before 30 April 2021. Printed copies of the Reports will be made available at no cost, within 2 weeks of receipt of your request. We appreciate your support in making this ‘green’ initiative a success.

Please feel free to contact your appointed distributor if you have any queries in respect of this letter.

Thank you.

Yours sincerely  
**Nikko Asset Management Asia Limited**

Eleanor Seet  
President

This is a computer generated letter and requires no signature.

**Nikko Asset Management Asia Limited**

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Co. Registration No. 198202562H

## APPENDIX A – REQUEST BY PHONE

### NIKKO AM FUNDS' ANNUAL ACCOUNTS, REPORT OF THE AUDITORS ON THE ANNUAL ACCOUNTS AND ANNUAL REPORTS FOR THE FINANCIAL YEAR ENDED 31 DECEMBER 2020 FOR THE FOLLOWING FUNDS :

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| <ul style="list-style-type: none"><li>- Nikko AM Shenton Asia Pacific Fund</li><br/><li>- Nikko AM Global Green Bond Fund<br/><i>(formerly known as Nikko AM Shenton World Bank Green Bond Fund)</i></li><br/><li>- Nikko AM Shenton Emerging Enterprise Discovery Fund<br/><i>(formerly known as Nikko AM Shenton Global Advantage Fund)</i></li><br/><li>- Nikko AM Shenton Global Opportunities Fund</li><br/><li>- Nikko AM Shenton Income Fund</li><br/><li>- Nikko AM Shenton Japan Fund</li><br/><li>- Nikko AM Shenton Thrift Fund</li><br/><li>- Nikko AM Japan Dividend Equity Fund</li><br/><li>- Nikko AM Global Multi Asset Conservative Fund</li></ul> | <p><b>Nikko AM Shenton Horizon Investment Funds – Sub-Funds</b></p> <ul style="list-style-type: none"><li>- Horizon Global Bond Fund (S\$ Hedged)</li><br/><li>- Nikko AM Global Dividend Equity Fund<br/><i>(formerly known as Horizon Global Equity Fund)</i></li><br/><li>- Horizon Singapore Fixed Income Enhanced Fund</li><br/><br/><li>- Singapore Dividend Equity Fund<br/><i>(formerly known as Horizon Singapore Equity Fund)</i></li></ul> |
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#### **Nikko AM China Onshore Fund Series - Sub-Funds**

- Nikko AM China Onshore Bond Fund
  
- Nikko AM China Equity Fund

#### **Nikko AM Shenton Eight Portfolios – Sub-Funds**

- Eight Portfolio C

- \* **If you have invested using CASH through our distributor’s Nominees Accounts and would like to receive printed copies of the Reports, please approach your appointed distributor you received this investor notice from for a printed copy of the Reports for the fund(s) which you have invested in.**
  
- \* **Please refer to your appointed distributor’s policy on Personal Data Protection Act (“PDPA”) and Foreign Account Tax Compliance (“FATCA”) and Common Reporting Standard (“CRS”), if applicable.**