

Dear Valued Holder,

**RESULTS OF ADJOURNED EXTRAORDINARY GENERAL MEETING IN RELATION TO THE PROPOSED CHANGE OF INVESTMENT OBJECTIVE AND POLICY/FOCUS AND APPROACH OF NIKKO AM SHENTON ASIA DIVIDEND EQUITY FUND (THE “SUB-FUND”), A SUB-FUND OF NIKKO AM ASIA INVESTMENT FUNDS (THE “FUND”)**

**THIS NOTICE IS IMPORTANT AND REQUIRES YOUR IMMEDIATE ATTENTION.** If you are in any doubt about the action to be taken you should consult your distributor, stockbroker, bank manager, solicitor, accountant or other professional adviser immediately. Please ignore this notice if you have already sold all your units in the Sub-Fund (the “Units”).

**1. INTRODUCTION**

Nikko Asset Management Asia Limited (the “**Managers**”), as managers of the Sub-Fund, would like to refer to the Notice of Meeting dated 16 February 2022 (the “**Notice**”) issued to unitholders of the Sub-Fund (the “ **Holders**”) in relation to the proposed change of investment objective and policy/focus and approach of the Sub-Fund, and the subsequent notice dated 10 March 2022 informing Holders of the adjournment of the extraordinary general meeting of Holders (the “**EGM**”) in connection with such proposed change, to 28 March 2022.

**All capitalised terms and references used in this notice shall, unless otherwise defined herein, have the same meaning and construction as defined in the Notice.**

**2. RESULTS OF THE ADJOURNED EXTRAORDINARY GENERAL MEETING HELD ON 28 MARCH 2022 AND CONSEQUENTIAL CHANGES TO THE SUB-FUND**

The Managers wish to announce that the extraordinary resolution set out in the Notice was duly **passed** at the adjourned EGM that was held at 9.30am (Singapore time) on 28 March 2022 by electronic means pursuant to the COVID-19 (Temporary Measures) (Alternative Arrangements for Meetings for Companies, Variable Capital Companies, Business Trusts, Unit Trusts and Debenture Holders) Order 2020.

Therefore, the trust deed of the Fund (as amended) (the “**Deed**”) will be modified in the manner set out in the Annex to Appendix B of the Notice, and the current investment objective and policy/focus and approach of the Sub-Fund will be amended to the new investment objective and policy/focus and approach set out in the Annex to Appendix B of the Notice, with effect from **20 June 2022** or such other date as the Managers may, in consultation with the trustee of the Sub-Fund, determine (the “**Effective Date**”).

In addition, the Managers also wish to inform you that the Consequential Change to introduce the use of stock connect by the Sub-Fund will also take effect from the Effective Date

**OPTIONS AVAILABLE FOR UNITHOLDERS**

**Option 1 – Stay invested**

You may continue to stay invested in the Sub-Fund and in such case, **no action is required** on your part. The Proposed Change (together with the Consequential Change) will take effect upon the Effective Date.

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## Option 2 – Switch

Subject to the provisions of the Deed and the trust deed of the relevant fund and to any terms and conditions imposed by the distributor from whom you purchased your Units, you can switch your Units to units in any other fund managed by us which is available from the same distributor from whom you purchased your Units (each a “**New Fund**”) at the prevailing NAV per unit of the New Fund from now until **5pm (Singapore time) on 17 June 2022**. You will be assured to know that the Managers will not be imposing any switching fee in respect of such switches from now until **5pm (Singapore time) on 17 June 2022**.

- **For cash investors**

You can switch your Units to units in a New Fund.

- **For SRS investors**

You can only switch your Units to units in a New Fund which is available for investment using SRS monies.

Before deciding to switch your Units, you should read the prospectus(es) of the relevant New Fund(s) carefully and assess the suitability of the relevant New Fund(s) for your personal needs. Electronic copies of the prospectus(es) are available at [www.nikkoam.com.sg](http://www.nikkoam.com.sg), and hard copies of the same may be obtained from our approved distributors. Please note that as compared to the Sub-Fund, each of the New Funds may have a different exposure and/or asset allocation bias to certain asset classes, countries and/or sectors. In this regard, you should seek appropriate advice on your specific objectives, financial situation and particular needs before making any investment decision to subscribe for units in any of the New Funds.

## Option 3 – Realisation

You may realise your Units by submitting a duly signed and completed realisation form which may be obtained from the distributor from whom you purchased your Units from now until **5pm (Singapore time) on 17 June 2022**. Upon receipt of your realisation request, your Units will be realised at the prevailing NAV determined in accordance with the terms of the Deed. You will be assured to know that currently, no realisation charge is payable for realisation of Units.

If you have invested in the Sub-Fund using SRS monies, you will be assured to note that we and our distributors will not impose any charges nor will you be required to bear any SRS operator charges or any other fees or charges for Option 2 and Option 3 above from now **until 5pm (Singapore time) on 17 June 2022**.

Please feel free to contact the distributor from whom you bought your Units if you have any queries in respect of your investment in the Sub-Fund.

Dated 30 March 2022

BY ORDER OF THE MANAGERS  
Nikko Asset Management Asia Limited

### Important Information:

This document is purely for informational purposes only with no consideration given to the specific investment objective, financial situation and particular needs of any specific person. It should not be relied upon as financial advice. Any securities mentioned herein are for illustration purposes only and should not be construed as a recommendation for investment. **You should seek advice from a financial adviser before making any investment. In the event that you choose not to do so, you should consider whether the investment selected is suitable for you.** Investments in funds are not deposits in, obligations of, or guaranteed or insured by Nikko Asset Management Asia Limited (“**Nikko AM Asia**”).

**Past performance or any prediction, projection or forecast is not indicative of future performance. The Fund or any underlying fund may use or invest in financial derivative instruments.** The value of units and income from them may fall or rise. Investments in the Fund are subject to investment risks, including the possible loss of principal amount invested. You should read the relevant prospectus (including the risk warnings) and product highlights sheet of the Fund, which are available and may be obtained from appointed distributors of Nikko AM Asia or our website ([www.nikkoam.com.sg](http://www.nikkoam.com.sg)) before deciding whether to invest in the Fund.

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